

Advanced General Relativity, Tutorial

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Lecture I**Exercise 1**

Using the antisymmetric property of the Riemann tensor we can simply write down

$$3R_{\alpha[\beta\gamma\delta]} = \frac{3}{3!} (R_{\alpha\beta\gamma\delta} - R_{\alpha\beta\delta\gamma} + R_{\alpha\gamma\delta\beta} - R_{\alpha\gamma\beta\delta} + R_{\alpha\delta\beta\gamma} - R_{\alpha\delta\gamma\beta}) \quad (1)$$

$$= R_{\alpha\beta\gamma\delta} + R_{\alpha\gamma\delta\beta} + R_{\alpha\delta\beta\gamma}. \quad \square \quad (2)$$

Using the properties of the Riemann tensor we can rewrite the Bianchi identity as

$$\nabla_{[\lambda} R_{\alpha\beta]\gamma\nu} \equiv R_{\alpha\beta\gamma\nu;\lambda} + R_{\alpha\beta\lambda\nu;\gamma} + R_{\alpha\beta\nu\lambda;\mu} \quad (3)$$

To construct the Einstein tensor from the Bianchi identities we apply the Ricci contraction

$$g^{\alpha\mu} [R_{\alpha\beta\mu\nu;\lambda} + R_{\alpha\beta\lambda\mu;\nu} + R_{\alpha\beta\nu\lambda;\mu}] = 0. \quad (4)$$

Given the property of the covariant derivative applied to the metric $g_{;\mu}^{\alpha\beta} = 0$ we can write down

$$R_{\alpha\nu;\lambda} + (-R_{\beta\lambda;\nu}) + R_{\beta\nu\lambda;\mu}^{\mu} = 0. \quad (5)$$

And contracting again on the indices ν and β

$$R_{;\lambda} - R_{\lambda;\mu}^{\mu} + (-R_{\lambda;\mu}^{\mu}) = 0. \quad (6)$$

Using the definition of the Ricci scalar R

$$(2R_{\lambda}^{\mu} - \delta_{\lambda}^{\mu} R)_{;\mu} = 0, \quad (7)$$

and introducing a symmetric tensor $G^{\alpha\beta} \equiv R^{\alpha\beta} - \frac{1}{2}g^{\alpha\beta}R$, we can see that Eq. (7) is equivalent to

$$\nabla^{\alpha} G_{\alpha\beta} = 0. \quad (8)$$

Exercise 2

Given a connecting 4-vector $\underline{\xi}$ between two neighbouring geodesics, we say that $\underline{\xi}$ is Lie propagated if its derivative with respect to \underline{u} vanishes

$$\mathcal{L}_{\underline{u}} \underline{\xi} = u^{\beta} \nabla_{\beta} \xi^{\alpha} - \xi^{\beta} \nabla_{\beta} u^{\alpha} = 0. \quad (9)$$

since the Lie derivative vanishes, we can write

$$u^{\beta} \nabla_{\beta} \xi^{\alpha} = \xi^{\beta} \nabla_{\beta} u^{\alpha}. \quad (10)$$

In analogy with the Newtonian expression for the geodesic deviation derived during the lecture, the relative acceleration between of the 4-vector $\underline{\xi}$ is given by

$$\nabla_{\underline{u}}\nabla_{\underline{u}}\xi^\alpha = u^\beta\nabla_\beta(u^\gamma\nabla_\gamma\xi^\alpha) \quad (11)$$

$$= u^\beta\nabla_\beta\xi^\gamma\nabla_\gamma u^\alpha + u^\beta\xi^\gamma\nabla_\beta\nabla_\gamma u^\alpha. \quad (12)$$

We can use the expression $\nabla_\beta\nabla_\gamma u^\alpha - \nabla_\gamma\nabla_\beta u^\alpha = R^\alpha{}_{\delta\beta\gamma}u^\delta$ (see lecture notes)

$$\nabla_{\underline{u}}\nabla_{\underline{u}}\xi^\alpha = u^\beta\nabla_\beta\xi^\gamma\nabla_\gamma u^\alpha + \xi^\gamma u^\beta\nabla_\gamma\nabla_\beta u^\alpha + \xi^\gamma u^\beta u^\delta R^\alpha{}_{\delta\beta\gamma}. \quad (13)$$

And applying the Leibniz rule and some index relabelling we obtain

$$\nabla_{\underline{u}}\nabla_{\underline{u}}\xi^\alpha = \xi^\beta [\nabla_\beta(u^\gamma\nabla_\gamma u^\alpha)] + \xi^\gamma u^\beta u^\delta R^\alpha{}_{\delta\beta\gamma}, \quad (14)$$

where the term $u^\gamma\nabla_\gamma u^\alpha = 0$ (tangent vector to the geodesic). After rearranging the indices we arrive at the equation for the geodesic deviation

$$\nabla_{\underline{u}}\nabla_{\underline{u}}\xi^\alpha = R^\alpha{}_{\beta\gamma\delta}u^\beta u^\gamma \xi^\delta, \quad (15)$$

the sign change in Eq. (5) on the exercise sheet is again a consequence of the properties of Riemann tensor.

Exercise 3

We start by contracting the Einstein's field equations with a cosmological constant Λ using $g^{\alpha\beta}$,

$$R - 2R + 4\Lambda = 8\pi T \implies R = -8\pi T + 4\Lambda, \quad (16)$$

We can use this result to rewrite the Einstein's equations as

$$R_{\alpha\beta} = 8\pi(T_{\alpha\beta} - \frac{1}{2}g_{\alpha\beta}T) + \Lambda g_{\alpha\beta}. \quad (17)$$

If we plug back this expression into Eq. (6) of the exercise sheet, we obtain

$$R = \kappa_2 T + 2R - 4\kappa_1 \implies R = 4\kappa_1 - \kappa_2 T, \quad (18)$$

similarly

$$R_{\alpha\beta} = \kappa_2(T_{\alpha\beta} - \frac{1}{2}g_{\alpha\beta}T) + \kappa_1 g_{\alpha\beta}. \quad (19)$$

In the weak-field limit the components of the stress energy-tensor $T^{00} \gg T^{0j} \gg T^{ij}$, the 00 covariant component of the previous expression becomes

$$R_{00} = \kappa_2(T_{00} - \frac{1}{2}g_{00}T) - g_{00}\Lambda' \quad (20)$$

where we absorbed κ_1 in the definition of the cosmological constant. With a bit of manipulation we can derive

$$\kappa_2(T_{00} - \frac{1}{2}g_{00}T) = T_{00} \approx \eta_{0\beta}\eta_{0\alpha}\frac{T^{00}}{2} = \kappa_2\frac{\rho c^2}{2}. \quad (21)$$

Eq. (20) becomes

$$R_{00} = \kappa_2\frac{\rho c^2}{2} + g_{00}\Lambda', \quad (22)$$

the left-hand side can be computed in the weak field regime as

$$R_{00} = -\frac{1}{2}h_{00,i}^i = -\frac{1}{2}\nabla^2 h_{00}; \quad (23)$$

where $h_{\mu\nu}$ is a correction to the flat space-time metric, $g_{\mu\nu} = \eta_{\mu\nu} + h_{\mu\nu}$. We need to relate h_{00} to the Newtonian potential ϕ and we can do so by using the geodesic equation for a freely falling particle

$$\frac{d^2x^\mu}{d\tau^2} + \Gamma_{\alpha\beta}^\mu \frac{dx^\alpha}{d\tau} \frac{dx^\beta}{d\tau} = 0, \quad (24)$$

and the equation of motion in the presence of a gravitational field $\ddot{\vec{x}} = -\vec{\nabla}\phi$. Considering only the spatial components and neglecting terms of $O(h^2)$

$$\Gamma_{\alpha\beta}^\mu u^\alpha u^\beta \approx \Gamma_0^\mu 0_u^0 u^0 = \Gamma_{00}^\mu, \quad (25)$$

and by comparing with the Newtonian equation of motion we obtain

$$\ddot{\vec{x}} = -\Gamma_{00}^\mu = -\frac{1}{2}\nabla^2 h_{00}; \quad (26)$$

from which we can easily derive $h_{00} = -2\frac{\phi}{c^2}$. If we go back to the Einstein equations,

$$R_{00} = -\frac{1}{2}\nabla^2\left(-\frac{2\phi}{c^2}\right) = \frac{\nabla^2\phi}{c^2}, \quad (27)$$

and putting all together with $\nabla^2\phi = 4\pi G\rho$, we can compute the value of κ

$$\frac{\nabla^2\phi}{c^2} = \frac{\kappa\rho c^2}{2} + g_{00}\Lambda. \quad (28)$$

The Poisson equation is

$$\nabla^2\phi = 4\pi G(\rho + \rho_\lambda), \quad (29)$$

so that

$$\frac{\nabla^2\phi}{c^2} = \frac{4\pi G}{c^2}(\rho + \rho_\lambda) = \frac{\kappa\rho c^2}{2} + \Lambda, \quad (30)$$

where ρ_λ is the "mass-density" of vacuum. From this expression we can easily derive the expressions for κ and Λ

$$\kappa = \frac{8\pi G}{c^4}, \quad (31)$$

$$\rho_\lambda = \frac{\Lambda c^2}{4\pi G}. \quad (32)$$

□.

Lecture II

Exercise 1

$$\frac{d}{ds} \left(\frac{\partial \mathcal{L}}{\partial \dot{x}^\mu} \right) - \frac{\partial \mathcal{L}}{\partial x^\mu} = 0, \quad (33)$$

where $\dot{x}^\mu = \frac{dx^\mu(\lambda(s))}{ds}$. Since

$$\frac{\partial \mathcal{L}}{\partial \dot{x}^\mu} = 2g_{\nu\mu} \dot{x}^\nu \quad \text{and} \quad \frac{\partial \mathcal{L}}{\partial x^\mu} = g_{\nu\kappa, \mu} \dot{x}^\nu \dot{x}^\kappa, \quad (34)$$

the *Euler-Lagrange* equations become

$$g_{\nu\mu} \ddot{x}^\nu + (g_{\nu\mu, \kappa} - \frac{1}{2} g_{\nu\kappa, \mu}) \dot{x}^\nu \dot{x}^\kappa = 0. \quad (35)$$

We can simply rewrite the previous equation in the following form

$$g_{\nu\mu} \ddot{x}^\nu + (g_{\nu\mu, \kappa} + g_{\kappa\mu, \nu} - g_{\nu\kappa, \mu}) \dot{x}^\nu \dot{x}^\kappa = 0, \quad (36)$$

and contracting with $g^{\nu\alpha}$ it reduces to well know geodesic equation

$$\ddot{x}^\alpha + \Gamma_{\nu\kappa}^\alpha \dot{x}^\nu \dot{x}^\kappa = 0. \quad (37)$$

Exercise 2

Given the Schwarzschild metric, we can write the Lagrangian for the system as

$$\mathcal{L} = \frac{1}{2} \left[\left(1 - \frac{2M}{r}\right) \dot{t}^2 - \frac{\dot{r}^2}{1 - 2M/r} - r^2 \dot{\theta}^2 - r^2 \sin^2 \theta \dot{\phi}^2 \right], \quad (38)$$

where the dot represents the derivative respect to τ . We can calculate the respective canonical momenta

$$p_t = \frac{\partial \mathcal{L}}{\partial \dot{t}} = \left(1 - \frac{2M}{r}\right) \dot{t}, \quad (39)$$

$$p_\phi = -\frac{\partial \mathcal{L}}{\partial \dot{\phi}} = (r^2 \sin^2 \theta) \dot{\phi}, \quad (40)$$

$$p_r = -\frac{\partial \mathcal{L}}{\partial \dot{r}} = \left(1 - \frac{2M}{r}\right)^{-1} \dot{r}, \quad (41)$$

$$p_\theta = -\frac{\partial \mathcal{L}}{\partial \dot{\theta}} = r^2 \dot{\theta}. \quad (42)$$

From the equation of motion for the θ coordinate

$$\frac{dp_\theta}{d\tau} = \frac{d}{d\tau} (r^2 \dot{\theta}) = -\frac{\partial \mathcal{L}}{\partial \theta} = r^2 \sin \theta \cos \theta \left(\frac{d\phi}{d\tau}\right)^2. \quad (43)$$

We are left with the radial geodesic

$$\frac{dp_r}{d\tau} = \frac{d}{d\tau} \left[\left(1 - \frac{2M}{r}\right)^{-1} \dot{r} \right] = -\frac{\partial \mathcal{L}}{\partial r} = r(\dot{\theta}^2 + \sin^2 \theta \dot{\phi}^2) - \frac{M}{r^2} \left[\dot{t}^2 - \left(1 - \frac{2M}{r}\right)^{-1} 2M \dot{r}^2 \right]. \quad (44)$$

TODO: add the corrected version and remove the old one

Exercise 3

We introduce an orthonormal tetrad $e_{\hat{\mu}}^{\hat{\alpha}}$ such that the scalar product of the basis vectors constitute the flat metric $\eta_{\hat{\alpha}\hat{\beta}}$

$$e_{\hat{\alpha}} \cdot e_{\hat{\beta}} = \eta_{\hat{\alpha}\hat{\beta}}, \quad (45)$$

$$\tilde{\omega}^{\hat{\alpha}} \cdot \tilde{\omega}^{\hat{\beta}} = \eta^{\hat{\alpha}\hat{\beta}}. \quad (46)$$

The components of the tetrads are

$$\underline{e}_{\hat{t}} = \left(1 - \frac{2M}{r}\right)^{-1/2} \underline{e}_t \quad (47)$$

$$\underline{e}_{\hat{r}} = \left(1 - \frac{2M}{r}\right)^{1/2} \underline{e}_r \quad (48)$$

$$\underline{e}_{\hat{\theta}} = \frac{1}{r} \underline{e}_\theta \quad (49)$$

$$\underline{e}_{\hat{\phi}} = \frac{1}{r \sin \theta} \underline{e}_\phi. \quad (50)$$

The energy of a particle of mass m measured by an observer with 4-velocity \underline{u} is given by

$$E_{\text{local}} - \underline{p} \cdot \underline{u} = -p_\alpha u^\alpha \quad (51)$$

$$= -p_\alpha \underline{e}_{\hat{t}}^\alpha \quad (52)$$

$$= -p_\alpha \left(1 - \frac{2M}{r}\right)^{-1/2} \underline{e}_t^\alpha \quad (53)$$

$$= -p_t \left(1 - \frac{2M}{r}\right)^{-1/2} \quad (54)$$

$$= E \left(1 - \frac{2M}{r}\right)^{-1/2}, \quad (55)$$

where we have used the expression for the 4-momenta $p_t = -\left(1 - \frac{2M}{r}\right) \dot{t} = -E$. Similarly for the angular velocity measured by a locally static observer

$$v^{\hat{\phi}} = \frac{p^{\hat{\phi}}}{p^{\hat{t}}} = \frac{p_\alpha \underline{e}_{\hat{\phi}}^\alpha}{p_t \underline{e}_{\hat{t}}^\alpha} \quad (56)$$

$$= \frac{p_\phi / (r \sin \theta)}{E_{\text{local}}} = \frac{l / (r \sin \theta)}{E_{\text{local}}}, \quad (57)$$

and we can find the requested expression $l = v^{\hat{\phi}} r \sin \theta E_{\text{local}}$.

Exercise 4

Combining Eq. (74) and Eq. (75) and restricting $\theta = \pi/2$, a particle

$$-\left(1 - \frac{2M}{r}\right)^{-1} E^2 + \left(1 - \frac{2M}{r}\right)^{-1} \left(\frac{dr}{d\tau} + \frac{l^2}{r^2}\right) = 1, \quad (58)$$

if we multiply it by $1 - \frac{2M}{r}$ and dividing by 2, we obtain

$$-\frac{e^2}{2} + \frac{1}{2} \frac{dr}{d\tau} + \frac{1}{2} \left(1 - \frac{2M}{r}\right) \frac{l^2}{r^2} = \frac{1}{2} - \frac{M}{r}, \quad (59)$$

isolating the energy per unit mass

$$\frac{e^2 - 1}{2} = \frac{1}{2} \left(\frac{dr}{d\tau}\right)^2 + \frac{\tilde{l}^2}{2r^2} \left(1 - \frac{2M}{r}\right) - \frac{M}{r}, \quad (60)$$

we can define an effective potential

$$V_{\text{eff}} = -\frac{\tilde{l}^2}{2r^2} \left(1 - \frac{2M}{r}\right) + \frac{M}{r}. \quad (61)$$

The first derivative of the potential

$$\frac{dV_{\text{eff}}}{dr} = \frac{d}{dr} \left(\frac{M}{r} - \frac{l^2}{2r^2} + \frac{l^2 M}{r^3} \right) = -\frac{M}{r^2} + \frac{l^2}{r^3} - \frac{3L^2 M}{r^4}, \quad (62)$$

in order to find the circular orbits we compute the zeros of the previous function

$$Mr^2 - l^2 r + 3l^2 m = , = 0 \quad (63)$$

we find the minimum and the maximum of the radius

$$r_{1,2} = \frac{l^2 \pm \sqrt{l^4 - 12l^2 M^2}}{2M} = \frac{l^2}{2M} \left(1 \pm \sqrt{1 - \frac{12M^2}{l^2}} \right), \quad (64)$$

which gives us the condition for the existence of a circular orbit $l^2 < 12M^2$. At the minimum value of the potential we can find a stable circular orbit

$$r_1 = \frac{l^2}{2M} \left(1 + \sqrt{1 - \frac{12M^2}{l^2}} \right), \quad (65)$$

and obviously the unstable ones has a radius of

$$r_2 = \frac{l^2}{2M} \left(1 - \sqrt{1 - \frac{12M^2}{l^2}} \right), \quad (66)$$

for the minimum $L/M < 2\sqrt{3}$ and so $r_c = 6M$. So

$$6M < r_c(\text{stable}) < +\infty, \quad (67)$$

$$3M \leq r_c(\text{unstable}) \leq 6M. \quad (68)$$

Lecture III

Exercise 1

Given the Schwarzschild metric

$$ds^2 = -\left(1 - \frac{2M}{r}\right) dt^2 + \frac{r^2}{1 - 2M/r} + r^2 d\theta^2 + r^2 \sin^2 \theta d\phi, \quad (69)$$

we see that there are no terms that explicitly depend on the time t and angular variable ϕ . The conjugate momenta are respectively

$$-p_t = -\frac{\partial \mathcal{L}}{\partial \dot{t}} = \frac{1}{2} \left(1 - \frac{2M}{r}\right) \dot{t}, \quad (70)$$

$$p_\phi = -\frac{\partial \mathcal{L}}{\partial \dot{\phi}} = r^2 \dot{\phi} \sin^2 \theta. \quad (71)$$

We can define therefore two killing vectors

$$\xi = (1, 0, 0, 0), \quad (72)$$

$$\eta = (0, 0, 0, 1). \quad (73)$$

The related conserved quantities are found taking the dot product of each vector with the four velocity.

$$e = \xi \cdot u = g_{\alpha\beta} \xi^\alpha u^\beta = - \left(1 - \frac{2M}{r}\right) \frac{dt}{d\tau}, \quad (74)$$

$$l = \eta \cdot u = g_{\alpha\beta} \eta^\alpha u^\beta = r^2 \sin^2 \theta \frac{d\phi}{d\tau} = r^2 \frac{d\phi}{d\tau}. \quad (75)$$

given $\theta = \pi/2$. For a massive particle

$$\mathcal{L} = -\frac{m^2}{2}, \quad (76)$$

which can be easily proved

$$p_\alpha = -\frac{\partial \mathcal{L}}{\partial \dot{x}^\alpha} = g_{\alpha\beta} \dot{x}^\beta, \quad (77)$$

$$p^\alpha = g^{\alpha\beta} p_\beta = g^{\alpha\beta} g_{\beta\mu} \dot{x}^\mu = \dot{x}^\alpha. \quad (78)$$

by taking the dot product

$$p_\alpha^\alpha = g_{\alpha\beta} \dot{x}^\alpha \dot{x}^\beta = 2\mathcal{L}, p_\alpha^\alpha = -m^2, \quad (79)$$

which gives us $2\mathcal{L} = -m^2$.

Exercise 2

A massive particle in a marginally bound orbit should satisfy the following equation

$$u_t = -1 \quad (80)$$

which is equivalent to $u_t^2 = 1$ and can be written down as

$$u_t^2 = \left(1 - \frac{2M}{r}\right) \left[1 + \frac{Mr^2/(r-3M)}{r^2}\right] = \frac{(r-2M)^2}{r(r-3M)} \quad (81)$$

$$(82)$$

which gives a radius for a marginally stable orbit of $r = r_{\text{mb}} = 4M$. Given the effective potential

$$V_{\text{eff}} = \left(1 - \frac{2M}{r}\right) \left(1 + \frac{\tilde{l}}{r^2}\right), \quad (83)$$

the maximum of the potential can be found by

$$\frac{\partial V_{\text{eff}}}{\partial r} = r\tilde{l}^2 - 2Mr^2 - 2M\tilde{l}^2 = 0, \quad (84)$$

which for $r_{\text{mb}} = 4M$ becomes $\tilde{l} = 4M$.

Exercise 3

Given the following line element

$$ds^2 = -e^{2\nu} dt^2 + e^{2\psi} (d\phi - \omega dt)^2 + e^{2\mu} (dR^2 + dz^2), \quad (85)$$

all the observers whose velocity has at each point the form (for circular, axisymmetric motion)

$$u^\alpha = u^t (t^\alpha + \omega \phi^\alpha) = e^\nu (t^\alpha + \omega \phi^\alpha), \quad (86)$$

represent a family of zero-angular-momentum-observers (ZAMOs). A natural tetrad in Kerr is given by the frame of a ZAMO, the basis covectors have the following expression

$$\omega^{\hat{0}} = e^\nu dt, \quad (87)$$

$$\omega^{\hat{1}} = e^\psi (d\phi - \omega dt), \quad (88)$$

$$\omega^{\hat{2}} = e^\mu dR, \quad (89)$$

$$\omega^{\hat{3}} = e^\mu dz, \quad (90)$$

and the contravariant basis vectors are

$$e_{\hat{0}} = e^{-\nu} (\partial_t + \omega \partial_\phi), \quad (91)$$

$$e_{\hat{1}} = e^{-\psi} \partial_\phi, \quad (92)$$

$$e_{\hat{2}} = e^{-\mu} \partial_R, \quad (93)$$

$$e_{\hat{3}} = e^{-\mu} \partial_z. \quad (94)$$

Lecture IV

Exercise 1

An important feature of the Kerr solution is the *frame dragging* which arises ultimately because the metric contains off-diagonal components $g_{t\phi} = g_{\phi t}$. We can compute this effect by considering the trajectory of a particle falling with zero angular momentum, p_ϕ . Since $g_{\alpha\beta}$ is independent of the coordinate ϕ , p_ϕ is still a conserved momenta.

$$p^\phi = g^{\phi\alpha} p_\alpha = g^{\phi\phi} p_\phi + g^{\phi t} p_t, \quad (95)$$

and for the time component of the momenta

$$p^t = g^{t\alpha} p_t = g^{tt} p_t + g^{t\phi} p_\phi. \quad (96)$$

For a massive particle we have

$$p^t = m \frac{dt}{d\tau} \quad (97)$$

$$p^\phi = m \frac{d\phi}{d\tau} \quad (98)$$

so that the trajectory can be easily derived

$$\frac{d\phi}{dt} = \frac{p^\phi}{p^t} = \frac{g^{\phi t}}{g^{tt}} = \omega(r, \theta), \quad (99)$$

$\omega(r, \theta)$ is the angular velocity of a zero angular momentum particle and it measures the frame dragging. To compute it explicitly we need $g^{\alpha\beta}$, since the metric is diagonal in r and θ

$$g^{rr} = 1/g_{rr} = \frac{\Delta}{\rho^2}, \quad (100)$$

$$g^{\theta\theta} = 1/g_{\theta\theta} = \frac{1}{\rho^2}, \quad (101)$$

and we are left with the components of the matrix which depends on ϕ and t

$$g^{-1} = \begin{pmatrix} g_{tt} & g_{t\phi} \\ g_{\phi t} & g_{\phi\phi} \end{pmatrix}^{-1}, \quad (102)$$

and given its determinant $D = g_{tt}g_{\phi\phi} - (g_{t\phi})^2$ we are left with the inverse matrix

$$g^{-1} = \frac{1}{D} \begin{pmatrix} g_{tt} & -g_{t\phi} \\ -g_{\phi t} & g_{\phi\phi} \end{pmatrix}. \quad (103)$$

With some algebras we obtain

$$D = -\Delta \sin^2 \theta, \quad (104)$$

$$g^{tt} = \frac{(r^2 + a^2)^2 - a^2 \Delta \sin^2 \theta}{\rho^2 \Delta}, \quad (105)$$

$$g^{t\phi} = -a \frac{2Mr}{\rho^2 \Delta}, \quad (106)$$

$$g^{\phi\phi} = \frac{\Delta - a^2 \sin^2 \theta}{\rho^2 \Delta \sin^2 \theta}. \quad (107)$$

Inserting this result back into Eq. (99) we obtain

$$\omega(r, \theta) = \frac{g^{\phi t}}{g^{tt}} = \frac{2Mra}{(r^2 + a^2)^2 - a^2 \Delta \sin^2 \theta}. \quad (108)$$

For a stationary observer

$$u_{\text{obs}}^\mu = (u_{\text{obs}}^0, 0, 0, 0) = \left(\frac{dt}{d\tau}, 0, 0, 0 \right), \quad (109)$$

and writing the normalisation $u_{\text{obs}} \cdot u_{\text{obs}} = -1$,

$$u_{\text{obs}} \cdot u_{\text{obs}} = g_{00}(u_{\text{obs}}^0)^2 = -1. \quad (110)$$

For the Kerr metric

$$g_{00} = - \left(1 - \frac{2Mr}{\rho^2} \right) = - \left(\frac{r^2 + a^2 \cos^2 \theta - 2Mr}{r^2 + a^2 \cos^2 \theta} \right). \quad (111)$$

which is equal to zero if

$$r^2 - 2Mr + a^2 \cos^2 \theta = 0. \quad (112)$$

This quadratic equation for the radius r gives us

$$r_e(\theta) = M + \sqrt{M^2 - a^2 \cos^2 \theta}, \quad (113)$$

if $g_{00} = 0$.

If we consider a photon on the equatorial plane ($\theta = \pi/2$) moving a circular orbit ($d\theta = dr = 0$), the line element becomes

$$g_{tt}dt^2 + 2g_{t\phi}dtd\phi + g_{\phi\phi}d\phi^2 = 0, \quad (114)$$

from which we can compute the angular velocity $\Omega = \frac{d\phi}{dt}$

$$\frac{d\phi}{dt} = \frac{-2g_{t\phi} \pm \sqrt{4g_{t\phi}^2 - 4g_{\phi\phi}g_{tt}}}{2g_{\phi\phi}} \quad (115)$$

$$= -\frac{g_{t\phi}}{g_{\phi\phi}} \pm \sqrt{\left(\frac{g_{t\phi}}{g_{\phi\phi}}\right)^2 - \frac{g_{tt}}{g_{\phi\phi}}}. \quad (116)$$

By setting $g_{tt} = 0$ we obtain the minimum value of $\Omega_{\min} = 0$ while the maximum is

$$\Omega_{\max} = -\frac{2g_{t\phi}}{g_{\phi\phi}} > 0. \quad (117)$$

Exercise 2

Let's recall the expression for the orbits of a photon in Schwarzschild

$$\left(\frac{dr}{d\tau}\right)^2 = E^2 - \left(1 - \frac{2M}{r}\right) \frac{L^2}{r^2}, \quad (118)$$

and the effective potential can be defined as

$$V^2(r) = \left(1 - \frac{2M}{r}\right) \frac{L^2}{r^2}, \quad (119)$$

and for a static observer The shape of the potential is shown in Fig. (1). For a photon coming from infinity with energy E , the allowed orbits are the ones for which V is smaller than E . At the point where $E^2 = V^2$ we also have

$$\left(\frac{dr}{d\tau}\right)^2 = 0, \quad (120)$$

as indicated by the straight line in Fig. (1). We can evaluate

$$\frac{d}{dr} \left[\left(1 - \frac{2M}{r}\right) \frac{L^2}{r^2} \right] = 0, \quad (121)$$

which is satisfied for $r = 3M$ and the potential at this radius is

$$V(r = 3M) = \frac{L}{3\sqrt{3}M}. \quad (122)$$

For a photon

$$p^0 = \left(1 - \frac{2M}{r}\right)^{-1} E, \quad (123)$$

$$p^r = \frac{dr}{d\lambda}, \quad (124)$$

$$p^\phi = \frac{d\phi}{d\lambda} = \frac{L}{r^2}. \quad (125)$$

From Eq. (125)

$$\frac{d\phi}{d\lambda} = \frac{d\phi}{d\lambda} \frac{d\lambda}{dr} = \pm \frac{L}{r^2} \frac{1}{\sqrt{E^2 - \left(1 - \frac{2M}{r}\right) \frac{L^2}{r^2}}} \quad (126)$$

$$= \pm \frac{1}{r^2} \left[\frac{1}{b^2} - \frac{1}{r^2} \left(1 - \frac{2M}{r}\right) \right]^{-1/2}, \quad (127)$$

where $b = L/E$ is the *impact parameter*. We compute now the *cone of avoidance* for an incoming photon with $L > 0$. For convenience, we introduce the following variable $u = r^{-1}$ and we rewrite Eq. (127) as the following cubic equation

$$f(u) = \frac{du}{d\phi} = \frac{1}{b^2} - u^2 + 2Mu^3. \quad (128)$$

The equation $f(u) = 0$ allows for a negative real root while the two remaining ones may be real or complex and either distinct or coincident (see the lecture notes for the unbound time-like geodesics). The condition for two coincident roots both real can be obtained by

$$f'(u) = 6Mu^2 - 2u = 0, \quad (129)$$

which has $u = 1/3M$ as a root; and $u = 1/3M$ is a root of $f(u) = 0$ if

$$b^2 = 27M^2 \quad \text{or} \quad b = (3\sqrt{3})M.$$

The product of the roots of Eq. (129) is given by

$$u_1 u_2 u_3 = -\frac{1}{2Mb^2}, \quad (130)$$

from which we can finally compute the three roots of the polynomial equation

$$u_1 = -\frac{1}{6M} \quad \text{and} \quad u_2 = u_3 = \frac{1}{3M}.$$

We can use the roots to factorise Eq. (127)

$$\left(\frac{du}{d\phi}\right)^2 = 2M \left(u + \frac{1}{6M}\right) \left(u - \frac{1}{3M}\right)^2. \quad (131)$$

Thus if we consider now a bundle of light rays launched from a point at a distance r from the BH, they describe a cone with an angle ψ directed toward the BH

$$\cot \psi = \frac{1}{r} \frac{d\tilde{r}}{d\phi}, \quad (132)$$

where $d\tilde{r}$ is the line element along the generator of the cone

$$d\tilde{r} = \left(1 - \frac{2M}{r}\right)^{-1/2}. \quad (133)$$

If we substitute $u = 1/r$ in Eq. (132)

$$\cot \psi = -\frac{1}{u\sqrt{1-2Mu}} \frac{du}{d\phi}, \quad (134)$$

and using Eq. (131),

$$\cot \psi = -\frac{1}{\sqrt{\frac{r}{2M}-1}} \left(1 - \frac{r}{3M}\right) \left(1 + \frac{r}{6M}\right)^{1/2}. \quad (135)$$

From this last equation it follows that for an ingoing photon

$$\begin{aligned} \psi &\sim \frac{3\sqrt{3}}{r} M & \text{as } r \rightarrow \infty, \\ \psi &= \frac{\pi}{2} & \text{for } r = 3M, \\ \psi &= \pi & \text{for } r = 2M. \end{aligned}$$

It can be easily found similar conditions for an outgoing photon.

Exercise 3

Given a special observer (not moving along a geodesic)

$$u^\mu = (u^t, 0, \omega A, 0) , \quad (136)$$

for which we can compute the energy of a particle measure by this observer as

$$E_{\text{ZAMO}} = -\underline{p} \cdot \underline{u} \quad (137)$$

$$= -(p_t u^t + p_\phi u^\phi) \quad (138)$$

$$= A(E - \omega L) , \quad (139)$$

where A can be found by the normalisation condition $\underline{u} \cdot \underline{u} = -1$

$$A^2 = \frac{g_{\phi\phi}}{-\Delta \sin^2 \theta} . \quad (140)$$

TODO add the expression for l .

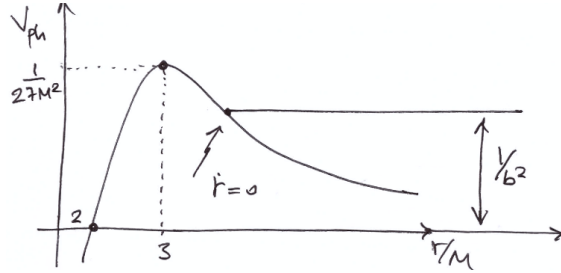


Figure 1: Effective potential for photons in Schwarzschild

Lecture V

Exercise 1

Show that the specific energy and specific angular momentum for circular orbits of massive particles in a Kerr spacetime are given by

$$E = \frac{r^2 - 2Mr \pm a\sqrt{Mr}}{r\sqrt{r^2 - 3Mr \pm 2a\sqrt{Mr}}} , \quad (141)$$

$$L = \frac{\sqrt{Mr} (r^2 \pm 2a\sqrt{Mr} + a^2)}{r\sqrt{r^2 - 3Mr \pm 2a\sqrt{Mr}}} . \quad (142)$$

For a circular orbit the potential can be written as

$$-(a^2 u^2 - 2Mu + 1) + E^2 + 2Mx^2 u^3 - (x^2 + 2aEx)u^2 = 0 , \quad (143)$$

where we have defined $x = L - aE$ and $u = 1/r$. The first derivative respect to the radial coordinate, $V'(r) = 0$

$$-(a^2 u - M) + 3Mx^2 u^2 - (x^2 + 2aEx)u = 0 . \quad (144)$$

The cubic polynomial in Eq. (143) has a double root. From Eq. (144) we obtain

$$(x^2 + 2aEx)u = -(a^2u - M) + 3Mx^2u^2, \quad (145)$$

and by solving Eq. (143) for E

$$\begin{aligned} E^2 &= -2Mu + 1 - 2Mx^2u^3 + 3Mx^2u^3 + Mu \\ &= Mx^2u^3 - Mu + 1. \end{aligned} \quad (146)$$

By eliminating E from the previous equations we obtain an equation for x

$$x^4u^2 [(3Mu - 1)^2 - 4a^2Mu^3] - 2x^3u [(3Mu - 1)(a^2u - M) - 2a^2u(Mu - 1)] + (a^2u - M)^2 = 0. \quad (147)$$

The discriminant of the last equation is $4a^2M\Delta_\mu^2u$ where $\Delta_\mu^2 = 1^2u^2 - 2Mu + 1$. We can rewrite Eq. (147) in a particularly simple form by writing

$$(3Mu - 1)^2 - 4a^2Mu^3 = Q_+Q_-, \quad (148)$$

where $Q_\pm = 1 - 3Mu \pm 2a\sqrt{Mu^3}$. Thus we find

$$x^2u^2 = \frac{Q_\pm\Delta_\mu - Q_+Q_-}{Q_+Q_-} = \frac{1}{Q_\mp} (\Delta_\mu - Q_\mp). \quad (149)$$

The term in brackets on the RHS of the previous equation can be written

$$\Delta_\mu - Q_\mp = u(a\sqrt{u} \pm \sqrt{M})^2, \quad (150)$$

and the solution of Eq. (147) takes the following form

$$x = -\frac{a\sqrt{u} \pm \sqrt{M}}{\sqrt{u}Q_\mp}, \quad (151)$$

where the orbit with the minus sign are retrograde while the one with the plus are direct ones. Inserting x in Eq. (146) we find the expression for the energy

$$E = \frac{1}{\sqrt{Q_\mp}} \left[1 - 2Mu \mp a\sqrt{Mu^3} \right], \quad (152)$$

and easily

$$L = aE + x = \mp \frac{\sqrt{M}}{\sqrt{u}Q_\mp} \left[a^2u^u + 1 \pm 2a\sqrt{Mu^3} \right], \quad (153)$$

as requested.

Exercise 2

We set the energy for a particle at spatial infinity (measured by a static ZAMO with four momentum $u = (1, 0, 0, 0)$) to be equal to unity and calculate the position of the ISCO (depending on a). Bound circular orbits computed in the previous exercises are not all stable. Stability requires that $V''(r) \leq 0$, which yields to the following conditions

$$1 - E^2 \geq \frac{2M}{3r}, \quad (154)$$

$$r^2 - 6Mr \pm 8a\sqrt{Mr} - 3a^2 \geq 0, \quad (155)$$

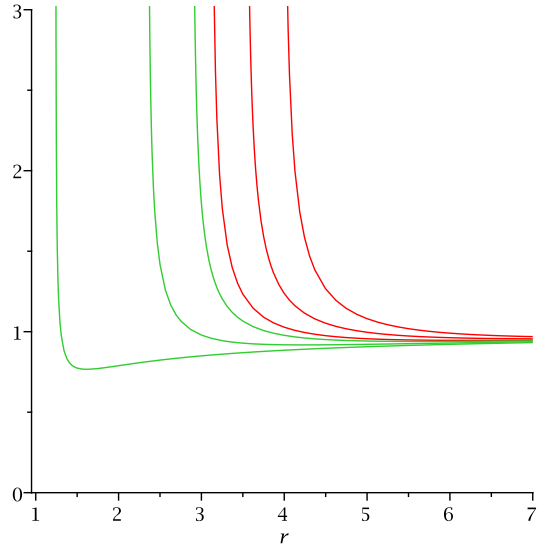


Figure 2: Specific energy for different values of the spin parameter, $a = 0.1, 0.5, 0.98$ and $M = 1$, (in red the corotating orbits and in green counterrotating ones)

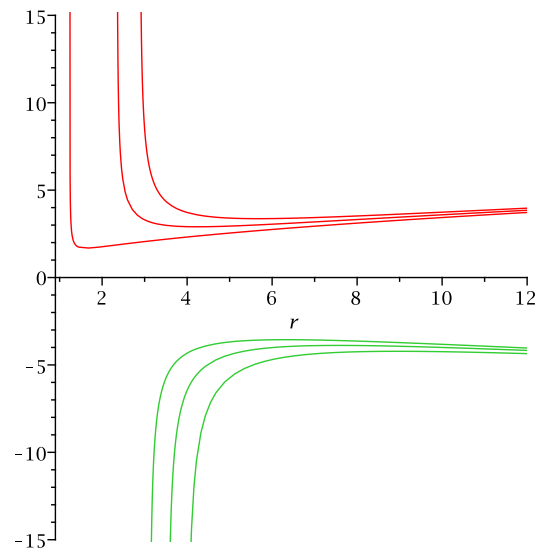


Figure 3: Specific angular momentum for different values of the spin parameter, $a = 0.1, 0.5, 0.98$ and $M = 1$ (in red: corotating orbits and in green: counterrotating ones)

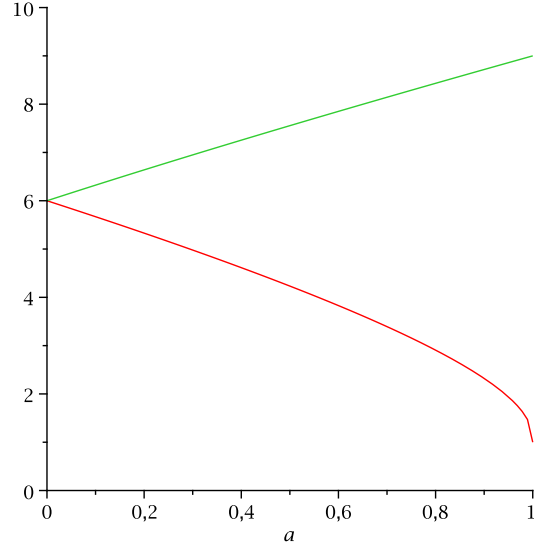


Figure 4: Radius of the ISCO as a function of the spin parameter around a Kerr black hole with $M = 1$ (in red: corotating orbits and in green: counterrotating ones)

or equivalently to

$$r \geq R_{\text{ms}} \quad (156)$$

where R_{ms} is the radius of the marginally stable orbit, and it is given by the following expression

$$R_{\text{ms}} = M \left\{ 3 + Z_2 \mp [(3 - Z_1)(3 + Z_1 + 2Z_2)]^{1/2} \right\} \quad (157)$$

$$Z_1 \equiv 1 + \left(1 - \frac{a}{M}\right)^{1/3} \left[\left(1 + \frac{a}{M}\right)^{1/3} + \left(1 - \frac{a}{M}\right)^{1/3} \right] \quad (158)$$

$$Z_2 \equiv \left(3 \frac{a^2}{M^2} + Z_1^2\right)^{1/2} . \quad (159)$$

For $a = 0$, $R_{\text{ms}} = 6M$ and for $a = 1$ $R_{\text{ms}} = M$ (direct) or $9M$ (retrograde), see Fig. (4). Inserting the expression for the radius of the marginally stable circular orbit in Eq. (152) we obtain a pretty complicated expression for the energy loss (see Matthias' Maple notebook). The smallest energy drop is for a value of the spin parameter $a = 0$, as expected, ($E_{\text{loss}} = 0.057$) while the largest one is for $a = 1$ ($E_{\text{loss}} = 0.421$).

Exercise 3

Given the Lagrangian

$$\mathcal{L} = \frac{1}{2} g_{\mu\nu} \dot{x}^\mu \dot{x}^\nu , \quad (160)$$

and the Euler-Lagrange equation for r

$$\frac{d}{d\lambda} \frac{\partial \mathcal{L}}{\partial \dot{r}} = \frac{\partial \mathcal{L}}{\partial r} , \quad (161)$$

we can write it down as

$$\frac{d}{d\lambda} (g_{rr} \dot{r}) = \frac{1}{2} \frac{\partial g_{\mu\nu}}{\partial r} \dot{x}^\mu \dot{x}^\nu . \quad (162)$$

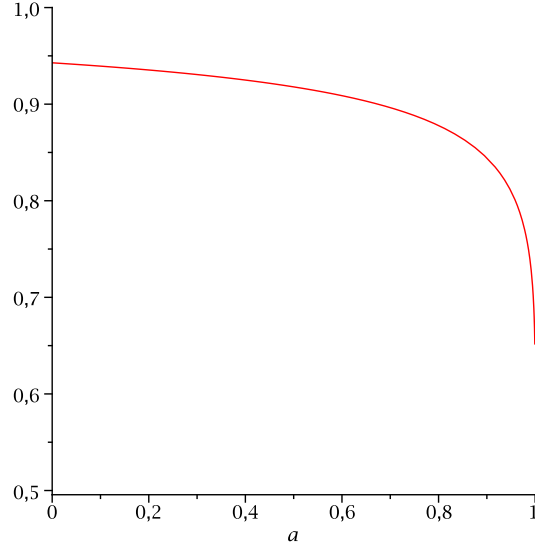


Figure 5: Energy loss for a particle at the ISCO falling into a Kerr black hole with $M = 1$

For a circular orbit we obtain

$$\frac{\partial g_{tt}}{\partial r} \dot{t}^2 + 2 \frac{\partial g_{\phi t}}{\partial r} \dot{t} \dot{\phi} + \frac{\partial g_{\phi\phi}}{\partial r} \dot{\phi}^2 = 0, \quad (163)$$

and given the angular velocity $\omega = \dot{\phi}/\dot{t}$

$$\frac{\partial g_{\phi\phi}}{\partial r} \omega^2 + 2 \frac{\partial g_{t\phi}}{\partial r} \omega + \frac{\partial g_{tt}}{\partial r} = 0. \quad (164)$$

Recalling coefficients of the Kerr metric we obtain

$$(r^3 - Ma^2) \omega^2 + 2Ma\omega - M = 0, \quad (165)$$

which has as discriminant

$$M^2 a^2 + M(r^3 - Ma^2) = Mr^3, \quad (166)$$

and the solutions are

$$\omega_{\pm} = \pm \frac{\sqrt{M}}{r^{3/2} \pm a\sqrt{M}}, \quad (167)$$

which for $a = 0$ the last equation becomes

$$\omega_{\pm} = \pm \sqrt{\frac{M}{r^3}}. \quad (168)$$

Lecture VI

See Matthias' notes in Maple.

Lecture VIII-IX

Exercise 1

See hand written notes on MS.

Exercise 2

We start by comparing the line elements of the two metrics. We recall that the line element for Misner-Sharp is

$$ds^2 = -a^2(r, t)dt^2 + b^2(r, t)dr^2 + R(r, t)d\Omega^2. \quad (169)$$

We choose first a gauge in which $a^2 = 1$, so called *comoving observer gauge*. We assume also that R is separable in a time dependent part and a radial one, $R(r, t) = S(t)\tilde{R}(r)$, which leads to

$$ds^2 = -dt^2 + S^2(t) \left(\frac{b^2}{S^2} dr^2 + \tilde{R}^2 d\Omega^2 \right), \quad (170)$$

and by comparing with the FRW metric

$$b(r, t) = \frac{S(t)}{\sqrt{1 - kr^2}}. \quad (171)$$

The assumption of an homogeneous fluid with non-zero pressure gives us the following conditions to plug into the MS equations

$$D_r p = 0, \quad (172)$$

$$p \neq 0, \quad (173)$$

$$e(r) = \text{const}. \quad (174)$$

The MS equations become

$$\partial_t U = - \left[\frac{M}{R^2} + 4\pi R\rho \right], \quad (175)$$

$$D_r R = \Gamma, \quad (176)$$

where $D_t U = \partial_t U$ give that $a = 1$ and $\Gamma = 1 + U^2 - \frac{2m}{R}$.

Exercise 2

The areal radius of the radially outgoing photons satisfies

$$r_s = a \sin \chi = \frac{a_m}{2} (1 + \cos \eta) \sin[\chi_e \pm (\eta - \eta_e)], \quad (177)$$

The condition $\left. \frac{dA}{d\eta} \right|_{\eta=\eta_e} \leq 0$ is equivalent to

$$\left. \frac{dr}{d\eta} \right|_{\eta=\eta_e} \leq 0. \quad (178)$$

Using the expression for r_s

$$-\sin \eta_e \sin \chi_e + \cos \chi_e + \cos \eta_e \cos \chi_e \leq 0 \iff \quad (179)$$

$$\cos(\eta_e + \chi_e) + \cos \eta_e \leq 0 \iff \quad (180)$$

$$\pi - \chi_e \leq \eta_e + \chi_e, \quad (181)$$

and finally $\eta_e \geq \pi - 2\chi_e$.

Exercise 3

Lecture X

Exercise 1

$$v^i = \frac{\gamma_\mu^i u^\mu}{-n_\mu u^\mu} = \frac{\delta_\mu^i u^\mu + n^i n_\mu u^\mu}{\alpha u^t} \quad (182)$$

$$= \frac{u^i + (-\beta^i/\alpha)(-\alpha)u^t}{\alpha u^t} = \frac{1}{\alpha u^t} (u^i + \beta^i u^t) . \quad (183)$$

Exercise 2

By simply comparing the two metrics

$$\alpha = \frac{1 - \frac{M}{2r}}{1 + \frac{M}{2r}} \quad (184)$$

and the shift vector is $\beta^i = 0$. The three metric γ

$$\gamma_{ij} = \left(1 + \frac{M}{2r}\right)^4 \text{diag} (1, r^2, r^2 \sin^2 \theta) \quad (185)$$

and

$$\begin{aligned} n^\mu &= (\alpha^{-1}, -\alpha^{-1}\beta^i) \\ n_\mu &= (-\alpha, 0, 0, 0) . \end{aligned} \quad (186)$$

Exercise 3 (courtesy of Ziri Younsi)

First, consider the metric in 3+1 form

$$ds^2 = g_{\mu\nu} dx^\mu dx^\nu \quad (187)$$

$$= g_{00} dt^2 + 2g_{0i} dt dx^i + g_{ij} dx^i dx^j . \quad (188)$$

The covariant components of the metric tensor are given by

$$g_{\mu\nu} = \begin{bmatrix} -\alpha^2 + \beta_i \beta^i & \beta_i \\ \beta_i & \gamma_{ij} \end{bmatrix} . \quad (189)$$

To complete the derivation we must first derive the relationship between the momentum and the shift. Consider the following

$$\begin{aligned} \frac{dx_i}{d\lambda} &= p_i \\ &= g_{i\mu} p^\mu \\ &= g_{i0} p^0 + g_{ij} p^j \\ &= \gamma_{ij} \beta^j p^0 + \gamma_{ij} p^j . \end{aligned} \quad (190)$$

Now multiply both sides of equation (4) by γ^{ki} :

$$\gamma^{ki} p_i = \gamma^{ki} \gamma_{ij} \beta^j p^0 + \gamma^{ki} \gamma_{ij} p^j .$$

Using the identity $\gamma^{ki}\gamma_{ij} = \delta_j^k$ we obtain

$$\gamma^{ki}p_i = p^k + \beta^k p^0 . \quad (191)$$

From equation (5) we obtain the following important identities which will be needed later:

$$p^i = \gamma^{ki}p_k - \beta^i p^0 , \quad (192)$$

$$p^j = \gamma^{lj}p_l - \beta^j p^0 , \quad (193)$$

which upon rearranging yield the following expressions for the shift

$$\beta^i p^0 = \gamma^{ki}p_k - p^i , \quad (194)$$

$$\beta^j p^0 = \gamma^{lj}p_l - p^j . \quad (195)$$

Note that equation (7) is identical to equation (6) in Hughes et al. (1994) and may be rewritten as

$$\frac{dx^j}{d\lambda} = \gamma^{ij}p_i - \beta^j p^0 , \quad (196)$$

where we have let $l \rightarrow i$. We are now in a position to derive the expression for p^0 . Consider the following

$$\begin{aligned} 0 &= p_\mu p^\mu \\ &= g_{\mu\nu} p^\mu p^\nu \\ &= (-\alpha^2 + \beta_i \beta^i) (p^0)^2 + 2\beta_i p^i p^0 + \gamma_{ij} p^i p^j . \end{aligned} \quad (197)$$

Bringing $(\alpha p^0)^2$ to the LHS and raising the index on covariant shift terms using γ_{ij} we obtain

$$\begin{aligned} (\alpha p^0)^2 &= \gamma_{ij} [(\beta^i p^0) (\beta^j p^0) + 2p^i (\beta^j p^0) + p^i p^j] \\ &= \gamma_{ij} [\beta^j p^0 (\beta^i p^0 + 2p^i) + p^i p^j] . \end{aligned} \quad (198)$$

Using equations (8)–(9), equation (12) becomes

$$\begin{aligned} (\alpha p^0)^2 &= \gamma_{ij} [(\gamma^{lj}p_l - p^j) (\gamma^{ki}p_k + p^i) + p^i p^j] \\ &= \gamma_{ij} [\gamma^{lj}\gamma^{ki}p_l p_k - \gamma^{ki}p^j p_k + \gamma^{lj}p^i p_l] \\ &= \gamma^{lk} p_l p_k - \delta_j^k p^j p_k + \delta_i^l p^i p_l . \end{aligned} \quad (199)$$

The second and third terms in equation (13) cancel as k and l are dummy indices and we obtain, upon letting $l \rightarrow i$, $k \rightarrow j$, the result

$$p^0 = \frac{\sqrt{\gamma^{ij}p_i p_j}}{\alpha} , \quad (200)$$

which is identical to equation (4) in Hughes et al. (1994), as required. Now consider the Euler-Lagrange equations of motion:

$$\frac{\partial \mathcal{L}}{\partial x^k} = \frac{d}{d\lambda} \left(\frac{\partial \mathcal{L}}{\partial \dot{x}^k} \right) , \quad (201)$$

where the Lagrangian is defined as

$$2\mathcal{L} = g_{\mu\nu} p^\mu p^\nu \quad (202)$$

$$= g_{00} (p^0)^2 + 2g_{0i} p^0 p^i + g_{ij} p^i p^j . \quad (203)$$

Using equation (16), the RHS of equation (15) may be calculated as

$$\begin{aligned}
\frac{d}{d\lambda} \left(\frac{\partial \mathcal{L}}{\partial \dot{x}^k} \right) &= \frac{d}{d\lambda} \left(\frac{\partial \mathcal{L}}{\partial p^k} \right) \\
&= \frac{1}{2} \frac{d}{d\lambda} \left(2g_{\mu\nu} p^\mu \frac{\partial p^\nu}{\partial p^k} \right) \\
&= \frac{d}{d\lambda} (g_{\mu\nu} p^\mu \delta_k^\nu) \\
&= \frac{dp_k}{d\lambda} ,
\end{aligned}$$

hence we obtain the following result:

$$\frac{\partial \mathcal{L}}{\partial x^k} = \frac{dp_k}{d\lambda} . \quad (204)$$

Finally, we must compute the LHS of equation (15) using the expression for the Lagrangian given in equation (17). We obtain the following:

$$\frac{\partial \mathcal{L}}{\partial x^k} = \frac{1}{2} \left[-2\alpha\alpha_{,k} (p^0)^2 + (\gamma_{ij}\beta^i\beta^j)_{,k} (p^0)^2 + 2(\gamma_{ij}\beta^j p^i)_{,k} p^0 + \gamma_{ij,k} p^i p^j \right] . \quad (205)$$

Now we collect terms in γ_{ij} and $\gamma_{ij,k}$:

$$\begin{aligned}
\frac{\partial \mathcal{L}}{\partial x^k} &= -\alpha\alpha_{,k} (p^0)^2 + \gamma_{ij} \left[\frac{1}{2} (\beta^i\beta^j)_{,k} (p^0)^2 + (\beta^j p^i)_{,k} p^0 \right] + \gamma_{ij,k} \left[\frac{1}{2} \beta^i\beta^j (p^0)^2 + \beta^j p^i p^0 + \frac{1}{2} p^i p^j \right] \\
&= -\alpha\alpha_{,k} (p^0)^2 + \frac{1}{2} \gamma_{ij} \left[(\beta^i\beta^j)_{,k} (p^0)^2 + 2\beta^j_{,k} p^0 p^i \right] + \frac{1}{2} \gamma_{ij,k} \left[\beta^i\beta^j (p^0)^2 + 2\beta^j p^i p^0 + p^i p^j \right] \\
&= -\alpha\alpha_{,k} (p^0)^2 + T_1 + T_2 ,
\end{aligned} \quad (206)$$

where

$$T_1 \equiv \frac{1}{2} \gamma_{ij} \left[(\beta^i\beta^j)_{,k} (p^0)^2 + 2\beta^j_{,k} p^0 p^i \right] , \quad (207)$$

$$T_2 \equiv \frac{1}{2} \gamma_{ij,k} \left[\beta^i\beta^j (p^0)^2 + 2\beta^j p^i p^0 + p^i p^j \right] . \quad (208)$$

First let us turn our attention to equation (21). We may expand and subsequently simplify as follows:

$$\begin{aligned}
T_1 &= \frac{1}{2} \gamma_{ij} \left[2\beta^i\beta^j_{,k} (p^0)^2 + 2\beta^j_{,k} p^0 p^i \right] \\
&= \gamma_{ij} (\beta^i p^0 + p^i) \beta^j_{,k} p^0 \\
&= \gamma_{ij} \gamma^{ki} p_k \beta^j_{,k} p^0 \\
&= \beta^j_{,k} p_j p^0 ,
\end{aligned} \quad (209)$$

as in term 2 on the RHS of equation (5) in Hughes et al. (1994). Secondly, we turn our attention to equation (22). First we may rewrite the expression as follows:

$$T_2 = \frac{1}{2} \gamma_{ij,k} \left[\beta^j p^0 (\beta^i p^0 + 2p^i) + p^i p^j \right] . \quad (210)$$

Now we employ equations (8)–(9), yielding:

$$\begin{aligned}
T_2 &= \frac{1}{2} \gamma_{ij,k} \left[(\gamma^{lj} p_l - p^j) (\gamma^{mi} p_m + p^i) + p^i p^j \right] \\
&= \frac{1}{2} \gamma_{ij,k} \left[\gamma^{mi} \gamma^{lj} p_m p_l + \gamma^{lj} p^i p_l - \gamma^{mi} p^j p_m \right] .
\end{aligned} \quad (211)$$

At this point we must consider transforming $\gamma_{ij,k}$ into $\gamma^{ij}_{,k}$. Consider the following identity:

$$\gamma^{lm} = \gamma^{mi} \gamma^{lj} \gamma_{ij} . \quad (212)$$

Differentiating w.r.t. x^k and rearranging yields the following identity:

$$\begin{aligned} \gamma_{ij,k} (\gamma^{mi} \gamma^{lj}) &= \gamma^{lm}_{,k} - \gamma_{ij} (\gamma^{mi} \gamma^{lj})_{,k} \\ &= \gamma^{lm}_{,k} - \gamma_{ij} \left(\gamma^{lj}_{,k} \gamma^{mi} + \gamma^{lj} \gamma^{mi}_{,k} \right) \\ &= \gamma^{lm}_{,k} - \gamma^{lj}_{,k} \delta_j^m - \gamma^{mi}_{,k} \delta_i^l . \end{aligned} \quad (213)$$

Before proceeding further, notice how terms two and three in equation (25) cancel: symmetry via $\gamma_{ij} = \gamma_{ji}$ and the fact that l and m are dummy indices reveals this. As such we are now left with

$$\begin{aligned} T_2 &= \frac{1}{2} \gamma_{ij,k} \gamma^{mi} \gamma^{lj} p_m p_l \\ &= \frac{1}{2} \left(\gamma^{lm}_{,k} p_m p_l - \gamma^{lj}_{,k} \delta_j^m p_m p_l - \gamma^{mi}_{,k} \delta_i^l p_m p_l \right) \\ &= \frac{1}{2} \left(\gamma^{lm}_{,k} p_m p_l - \gamma^{lj}_{,k} p_j p_l - \gamma^{mi}_{,k} p_m p_i \right) . \end{aligned} \quad (214)$$

Note that l, m, i and j are all dummy indices. The choice $j \rightarrow m, i \rightarrow l$ along with symmetry of the metric upon interchange of indices simplifies equation (28), yielding

$$T_2 = -\frac{1}{2} \gamma^{lm}_{,k} p_m p_l . \quad (215)$$

Finally, combining equations (20), (23) and (29), remembering the identity in equation (18), and letting $k \rightarrow i, j \rightarrow k$ we obtain the final result

$$\frac{dp_i}{d\lambda} = -\alpha \alpha_{,i} (p^0)^2 + \beta_{,i}^k p_k p^0 - \frac{1}{2} \gamma^{lm}_{,i} p_l p_m , \quad (216)$$

which is precisely the expression in Hughes et al. (1994), as required.

Lecture XI

Exercise 1

$$\begin{aligned}
\mathcal{L}_{\mathbf{n}}\gamma_{\mu\nu} &= n^\alpha \nabla_\alpha \gamma_{\mu\nu} + \gamma_{\mu\alpha} \nabla_\nu n^\alpha + \gamma_{\nu\alpha} \nabla_\mu n^\alpha \\
&= n^\alpha \nabla_\alpha (n_\mu n_\nu) + g_{\mu\alpha} \nabla_\nu n^\alpha + g_{\nu\alpha} \nabla_\mu n^\alpha \\
&= n^\alpha n_\mu \nabla_\alpha n_\nu + n^\alpha n_\nu \nabla_\alpha n_\mu + \nabla_\nu n_\mu + \nabla_\mu n_\nu \\
&= \gamma^\alpha_\mu \nabla_\alpha n_\nu + \gamma^\alpha_\nu \nabla_\alpha n_\mu = -2K_{\mu\nu}.
\end{aligned} \tag{217}$$

Inverting expression (217) and restricting to (nonzero) spatial indices, the third and last expression for the extrinsic curvature is therefore given by

$$K_{ij} = -\frac{1}{2} \mathcal{L}_{\mathbf{n}} \gamma_{ij}. \tag{218}$$

Exercise 2

I start by providing this ancillary relation that we are going to use in the next exercises. We recall from the lectures that

$$\alpha := [-(\nabla_\mu t)(\nabla^\mu t)]^{-1/2}, \tag{219}$$

$$n^\mu = -\alpha \nabla^\mu t \tag{220}$$

$$a_\nu = n^\mu \nabla_\mu n_\nu, \tag{221}$$

if we recall that $\gamma_\alpha^\beta = 0$ we can write

$$D_\beta \log \alpha = \alpha^{-1} D_\beta \alpha \tag{222}$$

$$= \alpha^{-1} \gamma_\beta^\gamma \nabla_\gamma \left\{ [-(\nabla_\alpha t)(\nabla^\alpha t)]^{-1/2} \right\} \tag{223}$$

$$= \alpha^{-1} \gamma_\beta^\gamma \alpha^3 (\nabla_\gamma \nabla_\delta t)(\nabla^\delta t) \tag{224}$$

$$= \alpha^2 \gamma_\beta^\gamma [\nabla_\delta (\alpha^{-1} n_\gamma)] n_\delta \tag{225}$$

$$= \gamma_\beta^\gamma \nabla_\delta n_\gamma \tag{226}$$

$$= a_\beta. \tag{227}$$

Exercise 3

Derivation of the Gauss-Codazzi equation. We introduce an arbitrary vector v^γ tangent to the 3-dimensional hyper surface Σ and we apply the Ricci identity

$$D_\alpha D_\beta v^\gamma - D_\beta D_\alpha v^\gamma = R^\gamma_{\mu\alpha\beta} v^\mu. \tag{228}$$

if we replace D with its definition we obtain

$$D_\alpha D_\beta v^\gamma = D_\alpha (D_\beta v^\gamma) \tag{229}$$

$$= \gamma_\alpha^\mu \gamma_\beta^\nu \gamma_\rho^\gamma \nabla_\mu (\gamma_\nu^\sigma \gamma_\lambda^\rho \nabla_\sigma v^\lambda). \tag{230}$$

Using the definition of the orthogonal projector $\gamma_\beta^\alpha = \delta_\beta^\alpha + n^\alpha n_\beta$, we write

$$\nabla_\mu \gamma_\nu^\sigma = \nabla_\mu (\delta_\nu^\sigma + n^\sigma n_\nu) \tag{231}$$

$$= \nabla_\mu n^\sigma n_\nu + n^\sigma \nabla_\mu n_\nu, \tag{232}$$

We can replace this expression in Eq. (229) and a bit of algebra we obtain

$$D_\alpha D_\beta v^\gamma = \gamma_\alpha^\mu \gamma_\beta^\nu \gamma_\rho^\gamma (n^\sigma \nabla_\mu n_\nu \gamma_\lambda^\rho \nabla_\sigma v^\lambda + \gamma_\nu^\sigma \nabla_\mu n^\rho n_\lambda \nabla_\sigma v^\lambda + \gamma_\nu^\sigma \gamma_\lambda^\rho \nabla_\mu \nabla_\sigma v^\lambda), \quad (233)$$

where the second term inside the parentheses can be replaced by using $\nabla_\sigma (n_\lambda v^\lambda) = 0$. If we introduce the definition of the extrinsic curvature $K_{\beta\alpha} = -\gamma_\alpha^\mu \gamma_\beta^\nu \nabla_\mu n_\nu$

$$D_\alpha D_\beta v^\gamma = -K_{\alpha\beta} \gamma_\lambda^\gamma n^\sigma \nabla_\sigma v^\lambda - K_\alpha^\gamma K_{\beta\lambda} v^\lambda + \gamma_\alpha^\mu \gamma_\beta^\sigma \gamma_\lambda^\gamma \nabla_\mu \nabla_\sigma v^\lambda. \quad (234)$$

By considering the symmetry of $K_{\alpha\beta}$, we can write

$$D_\alpha D_\beta v^\gamma - D_\beta D_\alpha v^\gamma = (K_{\alpha\mu} K_\beta^\gamma - K_{\beta\mu} K_\alpha^\gamma) v^\mu + \gamma_\alpha^\rho \gamma_\beta^\sigma \gamma_\lambda^\gamma (\nabla_\rho \nabla_\sigma v^\lambda - \nabla_\sigma \nabla_\rho v^\lambda). \quad (235)$$

The last term corresponds to the Ricci identity for the connection ∇ and therefore we can write

$$D_\alpha D_\beta v^\gamma - D_\beta D_\alpha v^\gamma = (K_{\alpha\mu} K_\beta^\gamma - K_{\beta\mu} K_\alpha^\gamma) v^\mu + \gamma_\alpha^\rho \gamma_\beta^\sigma \gamma_\lambda^\gamma R^\lambda_{\mu\rho\sigma} v^\mu. \quad (236)$$

By substituting the LHS with Eq. (228) we find the requested expression

$$(K_{\alpha\mu} K_\beta^\gamma - K_{\beta\mu} K_\alpha^\gamma) v^\mu + \gamma_\alpha^\rho \gamma_\beta^\sigma \gamma_\lambda^\gamma R^\lambda_{\mu\rho\sigma} v^\mu = R^\gamma_{\mu\alpha\beta} v^\mu, \quad (237)$$

where \mathbf{v} is a vector in the tangent space to the 3-dimensional manifold.

Exercise 4a

Similarly to the previous exercise we apply the Ricci identity although this time we project onto the normal vector \mathbf{n} to the hypersurface, in short $\gamma \cdot \gamma \cdot \gamma \cdot n^{(4)}R$. We project the Ricci identity onto the 3-dimensional hypersurface

$$\gamma_\alpha^\mu \gamma_\beta^\nu \gamma_\rho^\gamma R^\rho_{\sigma\mu\nu} n^\sigma = \gamma_\alpha^\mu \gamma_\beta^\nu \gamma_\rho^\gamma (\nabla_\mu \nabla_\nu n^\rho - \nabla_\nu \nabla_\mu n^\rho). \quad (238)$$

by using the expression derived in the previous exercise, $\nabla_\beta n_\alpha = -K_{\alpha\beta} - a_\alpha n^\beta$, we get

$$\gamma_\alpha^\mu \gamma_\beta^\nu \gamma_\rho^\gamma \nabla_\mu \nabla_\nu n^\rho = \gamma_\alpha^\mu \gamma_\beta^\nu \gamma_\rho^\gamma \nabla_\mu (-K_\nu^\rho - a^\rho n_\nu) \quad (239)$$

$$= -\gamma_\alpha^\mu \gamma_\beta^\nu \gamma_\rho^\gamma (\nabla_\mu K_\nu^\rho + \nabla_\mu a^\rho n_\nu + a^\rho \nabla_\mu n_\nu) \quad (240)$$

$$= -D_\alpha K_\beta^\gamma + a^\gamma K_{\alpha\beta}. \quad (241)$$

Therefore, by considering the symmetry properties of $K_{\alpha\beta}$ as in the previous exercise we can easily obtain the Codazzi-Mainardi equations

$$\gamma_\rho^\gamma n^\sigma \gamma_\alpha^\mu \gamma_\beta^\nu R^\rho_{\sigma\mu\nu} = D_\beta K_\alpha^\gamma - D_\alpha K_\beta^\gamma. \quad (242)$$

Exercise 4b

The projection onto the hypersurfaces of the Riemann tensor contracted twice with the normal vector results in the Codazzi-Mainardi equations

$$\gamma_\alpha^\epsilon n^\theta \gamma_\beta^\gamma n^\eta R_{\epsilon\theta\gamma\eta} = \gamma_\alpha^\epsilon n^\theta \gamma_\beta^\gamma \nabla_{[\epsilon} \nabla_{\theta]} n_\gamma \quad (243)$$

$$= -2\gamma_\alpha^\epsilon n^\theta \gamma_\beta^\gamma \nabla_{[\epsilon} (K_{\theta]\gamma} + n_{\theta]} D_\gamma \log \alpha) \quad (244)$$

$$= -\gamma_\alpha^\epsilon n^\theta \gamma_\beta^\gamma \nabla_\epsilon K_{\theta\gamma} + \gamma_\alpha^\epsilon n^\theta \gamma_\beta^\gamma \nabla_\theta K_{\epsilon\gamma} + \gamma_\alpha^\epsilon \gamma_\beta^\gamma \nabla_\epsilon D_\gamma \log \alpha \quad (245)$$

$$+ \gamma_\alpha^\epsilon n^\theta \gamma_\beta^\gamma (\nabla_\theta n_\epsilon) (D_\gamma \log \alpha) \quad (246)$$

$$= -K_\alpha^\theta K_{\theta\beta} + n^\theta \nabla_\theta K_{\alpha\beta} - n_\alpha K_{\beta\epsilon} n^\theta \nabla_\theta n^\epsilon - n_\beta K_{\alpha\epsilon} n^\theta \nabla_\theta n^\epsilon \quad (247)$$

$$- n_\alpha n_\beta n^\theta n^\gamma K_{\epsilon\gamma} \nabla_\theta n^\epsilon + \frac{1}{\alpha} D_\alpha D_\beta \alpha, \quad (248)$$

where we used the relation, $\nabla_\alpha n_\beta = -K_{\alpha\beta} - n_\alpha D_\beta \log \alpha$. The last step is to rewrite the last expression in terms of the Lie derivative,

$$\mathcal{L}_n K_{\alpha\beta} = n^\gamma \nabla_\gamma K_{\alpha\beta} + K_{\gamma\alpha} \nabla_\beta n^\gamma + K_{\gamma\beta} \nabla_\alpha n^\gamma. \quad (249)$$

Using $n^\theta \nabla_\theta n^\epsilon = a^\epsilon$ we can finally obtain

$$\gamma_\alpha^\epsilon n^\theta \gamma_\beta^\gamma n^\eta R_{\epsilon\theta\gamma\eta} = \mathcal{L}_n K_{\alpha\beta} + \frac{1}{\alpha} D_\alpha D_\beta \alpha + K_{\alpha\gamma} K_\beta^\gamma, \quad (250)$$

as advertised.

Lecture XII

Exercise 1

We can derive the Hamiltonian constraint starting from the Einstein equations and the Gauss-Codazzi equations derived in the previous section

$$\gamma^{\sigma\gamma} \gamma_\beta^\mu \gamma_\delta^\nu R_{\sigma\mu\gamma\nu} = R_{\beta\delta} + K K_{\beta\delta} - K_{\beta\gamma} K_\delta^\gamma, \quad (251)$$

we contract the indices γ and α

$$\gamma^{\sigma\gamma} \gamma^{\mu\nu} R_{\sigma\mu\gamma\nu} = R + K^2 - K_{\alpha\beta} K^{\alpha\beta}, \quad (252)$$

Contracting the Codazzi-Mainardi equation gives

$$\gamma^{\sigma\gamma} \gamma_\beta^\mu n^\delta R_{\sigma\mu\gamma\nu} = D_\beta K - D_\alpha K_\beta^\alpha, \quad (253)$$

The LHS of Eq. (252) can be expanded

$$\gamma^{\sigma\gamma} \gamma^{\mu\nu} R_{\sigma\mu\gamma\nu} = (g^{\sigma\gamma} + n^\sigma n^\gamma)(g^{\mu\nu} + n^\mu n^\nu) R_{\sigma\mu\gamma\nu} \quad (254)$$

$$= R + n^\sigma n^\gamma R_{\sigma\gamma} + n^\mu n^\nu R_{\mu\nu} + n^\sigma n^\gamma n^\mu n^\nu R_{\sigma\gamma\mu\nu} \quad (255)$$

$$= R + 2n^\sigma n^\gamma R_{\sigma\gamma}, \quad (256)$$

where we have used the symmetry properties of $R_{\sigma\mu\gamma\nu}$. Comparing with the Einstein equations

$$2n^\sigma n^\gamma G_{\sigma\gamma} = 2n^\sigma n^\gamma R_{\sigma\gamma} - n^\sigma n^\gamma g_{\sigma\gamma} R \quad (257)$$

$$= 2n^\sigma n^\gamma R_{\sigma\gamma} - n^\sigma n^\gamma (\gamma_{\sigma\gamma} - n_\sigma n_\gamma) R \quad (258)$$

$$= 2n^\sigma n^\gamma R_{\sigma\gamma} + R. \quad (259)$$

Inserting this equation in Eq. (252) yields

$$2n^\sigma n^\gamma G_{\sigma\gamma} = R + K^2 - K_{\alpha\beta} K^{\alpha\beta}, \quad (260)$$

and if we define the energy density measured by a normal observer, n^α , as $e := n_\alpha n_\beta T^{\alpha\beta}$ we find

$$R + K^2 - K_{\alpha\beta} K^{\alpha\beta} = 16\pi e. \quad (261)$$

This is the *Hamiltonian constraint*. Similarly, we can use Eq. (253)

$$\gamma^{\sigma\gamma} \gamma_\beta^\mu n^\delta R_{\sigma\mu\gamma\nu} = \gamma_\beta^\mu n^\delta R_{\mu\delta} + \gamma_\beta^\mu n^\sigma n^\gamma n^\delta R_{\sigma\mu\gamma\nu}. \quad (262)$$

The second term is again zero while the first term on the RHS as

$$\gamma_\beta^\mu n^\delta G_{\mu\delta} = \gamma_\beta^\mu n^\delta R_{\mu\delta} - \frac{1}{2} \gamma_\beta^\mu n^\delta g_{\mu\delta} R. \quad (263)$$

Since $\gamma_\beta^\mu n^\delta g_{\mu\delta} = \gamma_{\beta\delta} n^\delta = 0$, Eq. (253) reduces to

$$\gamma_\beta^\mu n^\delta G_{\mu\delta} = D_\beta K - D_\alpha K_\beta^\alpha. \quad (264)$$

The momentum density can be defined as $j_\alpha := -\gamma_\alpha^\beta n^\rho T_{\beta\rho}$, which leads finally to the *momentum constraint*

$$D_\beta K_\alpha^\beta - D_\alpha K = 8\pi j_\alpha. \quad (265)$$

Exercise 2

Given the scalar field ϕ

$$\tilde{D}_i \left(\tilde{D}_j \phi \right) = \tilde{D}_i (\partial_j \phi) = \partial_i \partial_j \phi - \tilde{\Gamma}_{ij}^k \partial_k \phi, \quad (266)$$

as advertised.

$$\tilde{D}_i \tilde{D}_j \phi = D_i D_j \phi + \frac{1}{\phi} \gamma_{ij} \partial^k \phi \partial_k \phi - \frac{2}{\phi} \partial_i \phi \partial_j \phi. \quad (267)$$

Using the definition of covariant derivative

$$\partial_i \partial_j \phi - \tilde{\Gamma}_{ij}^k \partial_k \phi = \partial_i \partial_j \phi - \Gamma_{ij}^k + \frac{1}{\phi} \gamma_{ij} \partial^k \phi \partial_k \phi - \frac{2}{\phi} \partial_i \phi \partial_j \phi. \quad (268)$$

and rearranging the previous equation

$$\left(\tilde{\Gamma}_{ij}^k - \Gamma_{ij}^k \right) \partial_k \phi = \frac{2}{\phi} \partial_i \phi \partial_j \phi - \frac{1}{\phi} \gamma_{ij} \partial^k \phi \partial_k \phi. \quad (269)$$

Now we compute explicitly the affine connection coefficients for the conformal metric

$$\tilde{\Gamma}_{ij}^k = \frac{1}{2} \tilde{\gamma}^{kl} (\partial_i \tilde{\gamma}_{jl} + \partial_j \tilde{\gamma}_{li} - \partial_l \tilde{\gamma}_{ij}) \quad (270)$$

$$= \frac{1}{2\phi^2} \gamma^{kl} (\partial_i \phi^2 \gamma_{jl} + \partial_j \phi^2 \gamma_{li} - \partial_l \phi^2 \gamma_{ij}) \quad (271)$$

$$= \frac{1}{2\phi^2} \gamma^{kl} [\phi^2 (\partial_i \gamma_{jl} + \partial_j \gamma_{li} - \partial_l \gamma_{ij}) + \quad (272)$$

$$2\phi \gamma_{il} \partial_i \phi + 2\phi \gamma_{li} \partial_j \phi - 2\phi \gamma_{ij} \partial_l \phi] \quad (273)$$

$$= \Gamma_{ij}^k + \frac{1}{\phi} \gamma^{kl} (\gamma_{jl} \partial_i \phi + \gamma_{li} \partial_j \phi - \gamma_{ij} \partial_l \phi) \quad (274)$$

$$= \Gamma_{ij}^k + \delta_j^k \partial_i \ln \phi + \delta_i^k \partial_j \ln \phi - \gamma_{ij} \gamma^{kl} \partial_l \ln \phi, \quad (275)$$

note that this expression differs from Eq. (7.100) in Rezzolla & Zanotti's book. We can substitute this expression in the LHS of Eq. (269) which leads to

$$\frac{1}{\phi} (\delta_j^k \partial_i \phi + \delta_i^k \partial_j \phi - \gamma_{ij} \gamma^{kl} \partial_l \phi) \partial_k \phi = \quad (276)$$

$$\frac{2}{\phi} \partial_i \phi \partial_j \phi - \frac{1}{\phi} \gamma_{ij} \partial^k \phi \partial_k \phi, \quad (277)$$

which is exactly the RHS of Eq. (269) as requested.

Exercise 3

We define a normal observer $u^\mu = W(1, v^i) = W(n^\mu + v^\mu)$, we can rewrite the stress energy tensor as

$$T^{\mu\nu} = h\rho W^2 (n^\mu + v^\mu)(n^\nu + v^\nu) + p(\gamma^{\mu\nu} - n^\mu n^\nu) \quad (278)$$

$$= h\rho W^2 (n^\mu n^\nu + v^\mu n^\nu + n^\mu v^\nu + v^\mu v^\nu) + p(\gamma^{\mu\nu} - n^\mu n^\nu) \quad (279)$$

$$= (h\rho W^2 - p) n^\mu n^\nu + h\rho W^2 (v^\mu n^\nu + n^\mu v^\nu) + h\rho W^2 v^\mu v^\nu + p\gamma^{\mu\nu} \quad (280)$$

$$= E n^{\mu\nu} + h\rho W^2 v^\mu n^\nu + h\rho W^2 v^\nu n^\mu + S^{\mu\nu} \quad (281)$$

$$= E n^{\mu\nu} + S^\mu n^\nu + S^\nu n^\mu + S^{\mu\nu}, \quad (282)$$

where we have used the definition of the total energy for the Eulerian observe, the momentum density and the purely spatial energy-momentum tensor.